

2821

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT

TRADE



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number
09/887,848Filing Date
June 22, 2001First Named Inventor
Robert C. Newman, Jr.Group Art Unit
2821

Examiner Name

RECEIVED
STEP - 3 2002
SEARCHED
INDEXED
FILED

ENCLOSURES (check all that apply)

- Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

- After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):
 Statement of Assignee under 37 CFR 3.73(b)

Remarks

Statement of Assignee under 37 CFR 3.73(b)

RECEIVED
TC 2800 MAIL ROOM
SEP 2 2002
enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
Lutron Electronics Co., Inc.Signature
*Mark E. Rose*Date
19 AUGUST 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 19, 2002

Typed or printed name
Susan M. FrederickSignature
Susan M. Frederick

Date

August 19, 2002



COPY OF PAPERS
ORIGINALLY FILED

P99-16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Robert C. Newman, Jr. et al.

U.S. Serial No. 09/887,848

Filed: June 22, 2001

For: ELECTRONIC BALLAST

RECEIVED
SEP -3 2002
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT OF ASSIGNEE UNDER 37 CFR 3.73(b)

Sir:

Lutron Electronics Company, Inc., states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on January 14, 2002, at Reel 012493, Frame 0039.

The undersigned, whose title is supplied below is empowered to sign this certificate on behalf of the assignee.

Dated: _____


Signature
Name: John Hengenderfer
Title: Aug 14 2002
President